Docket No.: FETHE24.001CP1



INFORMATION DISCLOSURE STATEMENT

: Camm, et al.

App. No : 10/005,186

Filed: December 4, 2001

For : HEAT-TREATING METHODS AND

SYSTEMS

Examiner : Fuqua, Shawntina

Art Unit : 37.42

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Enclosed for filing in the above-identified application is an Information Disclosure Statement by Applicant (PTO/SB/08 equivalent) listing 15 references to be considered by the Examiner. Also enclosed are 11 foreign patent references and/or non-patent literature as listed on the Information Disclosure Statement.

This Information Disclosure Statement is being filed after the mailing date of a final action or after the mailing date of a Notice of Allowance. This Statement is accompanied by the fee set forth in 37 C.F.R. § 1.17(p). The Commissioner is hereby authorized to charge any additional fees which may be required or to credit any overpayment to Account No. 11-1410.

CERTIFICATION UNDER 37 C.F.R. § 1.97(e)(1)

I hereby certify that each item of information contained in this Statement was first cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months days/months prior to the filing of this Information Disclosure Statement.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: Mar. 19, 2005

03/15/2005 RFEKADU1 00000024 10005186

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Che Swyden Chereskin, Ph.D.

Registration No. 41,466

Agent of Record

Customer No. 20,995

(949) 760-0404

Application No. 10/005,186 INFORMATION DISCLOSURE Filing Date December 4, 2001 **First Named Inventor** Camm, et al. STATEMENT BY APPLICANT **Art Unit** 3742 (Multiple sheets used when necessary) Examiner Fuqua SHEET 1 OF 1 Attorney Docket No. FETHE24.001CP1

	U.S. PATENT DOCUMENTS								
Examiner Initials	Cite No.	Document Number Number - Kind Code (if known) Example: 1,234,567 B1	Issue Date MM-DD-YYYY	Name of Patentee or Applicant	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear				
94		4,325,006	04/13/82	Morton					
70		5,777,437	07/07/98	Neister					
N.		6,066,516	05/23/00	Miyasaka					
94		6,187,616	02/13/01	Gyoda					

				FOREIGN PATE	ENT DOCUMENTS		
Init	Examiner Initials		Foreign Patent Document Country Code-Number-Kind Code Example: JP 1234567 A1	Publication Date MM-DD-YYYY	Name of Patentee or Applicant	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	T¹
y	7		EP 0 105 230	04/11/84	General Electric Company		
\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \	V		EP 0 538 874	04/28/93	F.T.L. Co., Ltd.		
			GB 2 199 693	07/13/88	Noblelight Ltd		
			JP 01-246828 Abstract	10/02/89	Tokyo Electron Ltd.		٧.
			JP 04-355911 Abstract	12/09/92	Fujitsu Ltd		1
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			JP 08-107113 Abstract	04/23/96	Sony Corp		1
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	1.		JP 58-106836 Abstract	06/25/83	Hitachi Ltd		1
	V.		JP 59-211221 Abstract	11/30/84	Nippon Denso Co Ltd		1
<u>q</u>			WO 99/41777	08/19/99	Seiko Epson Corporation		Abstract Only

NON PATENT LITERATURE DOCUMENTS						
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ¹			
						

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Examiner Signature Shawutina Jugua Date Considered 4/20/05

^{*}Examiner: Initial if reference considered, whether or rox citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Customer No. 20,995

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HEAT-TREATING METHODS AND

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Examiner

S Fuqua

Group Art Unit

3742

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TECHNOLOGY CENTER R3700

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Enclosed is form PTO-1449 listing 16 references that are also enclosed.

This Information Disclosure Statement is being filed before the receipt of a first Office Action on the merits, and presumably no fee is required in accordance with 37 C.F.R. \$ 1.97(b)(3). If a first Office Action on the merits was mailed before the mailing date of this Statement, the Commissioner is authorized to charge the fee set forth in 37 C.F.R. § 1.17(p) to Deposit Account No. 11-1410.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Che Swyden Chereskin, Ph.D. Registration No. 41,466

Agent of Record

Customer No. 20,995

(949) 760-0404

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(also form PTO-1449)

PE INFORMATION DISCLOSURE CITATION	Atty. Docket No. FETHE24.001 CP1 (47753- 5cip)	Serial No. 10/005,186	
(Use several sheets if necessary)	Applicant(s) David M. Camm, et al.		
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U.S. PATENT DOCUMENTS

OTHER ART (including Author, Title, Date, Pertinent Pages, Etc.)

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34	Al	Lord, H.A., "Thermal and Stress Analysis of Semiconductor Wafers in a Rapid Thermal Processing Oven", (August 1988), Vol. 1, No. 3, IEEE Transactions on Semiconductor Manufacturing, p. 105-114.
	AJ	Hill, C., et al., "Rapid Thermal Annealing - Theory and Practice", in Reduced Thermal Processing for VLSI, (New York: Plenum, 1989), p. 143-180.
	AK	Kakoschke, R., "Is There a Way to a Perfect Rapid Thermal Processing System?", (1991), Vol. 224, Mat. Res. Soc. Symp. Proc., p. 159-170.
	AL	Kakoschke, R., et al., "Simulation of Temperature Effects During Rapid Thermal Processing", (1989), Vol. 146, Mat. Res. Soc. Symp. Proc., p. 473-482.
	AM	Vandenabeele, P., et al., "Impact of Patterned Layers on Temperature Non-Uniformity During Rapid Thermal Processing for VLSI-Applications", (1989), Vol. 146, Mat. Res. Soc. Symp. Proc., p. 149-160.
	AN	Nulman, J., et al., "Pyrometric Emissivity Measurements and Compensation in an RTP Chamber", (1989), Vol. 146, Mat. Res. Soc. Symp. Proc., p. 461-466.
	AO	Dilhac, J-M., et al., "Adaptive Process Control for a Rapid Thermal Processor", (1990), SPIE Vol. 1393, Rapid Thermal and Related Processing Techniques, p. 395-403.
34	AP	Dilhac, J-M., et al, "Thermal Model for Rapid Thermal Processors: Theory and Applications", (1993), RTP '93 conference proceedings, p. 12-18.
<u> </u>		
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*EXAMINER:

Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO-A820 (also form PTO-1449) P09C/REV03

Patent and Trademark Office * U.S. DEPARTMENT OF COMMERCE

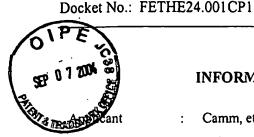
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	OTHER ART (incl	luding Autho	r, Title, Date, Pertinent Po	ages, Etc.)			
AF	Wilson, S.R., et al., "An Overview	and Comparise	on of Rapid Thermal Process		nt: A Users	Viewpoii	it",
	AC AB AD AE	AA 5,359,693 AC 5,628,564 AB 5,727,017 AD 5,841,110 AE 6,293,696 FO DOCUMENT NUMBER OTHER ART (incl AF Wilson, S.R., et al., "An Overview (1986), Vol. 52, Mat. Res. Soc. Syn	AA 5,359,693 10/1994 AC 5,628,564 05/1997 AB 5,727,017 03/1998 AE 6,293,696 09/2001 FOREIGN PATI DOCUMENT NUMBER DATE OTHER ART (including Author AF Wilson, S.R., et al., "An Overview and Comparis (1986), Vol. 52, Mat. Res. Soc. Symp. Proc., p. 1	AA 5,359,693 AC 5,628,564 AB 5,727,017 AD 5,841,110 AE 6,293,696 FOREIGN PATENT DOCUMENTS DOCUMENT NUMBER DATE COUNTRY OTHER ART (including Author, Title, Date, Pertinent Pate (1986), Vol. 52, Mat. Res. Soc. Symp. Proc., p. 181-190.	AA 5,359,693 10/1994 Nenyei et al. 392 AC 5,628,564 05/1997 Nenyei et al. 374 AB 5,727,017 03/1998 Maurer et al. 374 AD 5,841,110 11/1998 Nenyei et al. 219 AE 6,293,696 09/2001 Guardado 374 FOREIGN PATENT DOCUMENTS DOCUMENT NUMBER DATE COUNTRY CLASS OTHER ART (including Author, Title, Date, Pertinent Pages, Etc.) AF Wilson, S.R., et al., "An Overview and Comparison of Rapid Thermal Processing Equipmer (1986), Vol. 52, Mat. Res. Soc. Symp. Proc., p. 181-190.	DOCUMENT NUMBER DATE NAME CLASS CLASS	DOCUMENT NUMBER DATE NAME CLASS CLASS APPROI AA 5,359,693 10/1994 Nenyei et al. 392 418 AC 5,628,564 05/1997 Nenyei et al. 374 121 AB 5,727,017 03/1998 Maurer et al. 374 9 AD 5,841,110 11/1998 Nenyei et al. 219 497 AE 6,293,696 09/2001 Guardado 374 2 AE 6,293,696 09/2001 Guardado 374 2 AR 1 2004 TECHNOLOGY CENTER H3 DOCUMENT NUMBER DATE COUNTRY CLASS CLASS TRANSI YES AF Wilson, S.R., et al., "An Overview and Comparison of Rapid Thermal Processing Equipment: A Users Viewpoin (1986), Vol. 52, Mat. Res. Soc. Symp. Proc., p. 181-190.

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Celler, G.K., et al., "Drift of Arsenic in SiO₂, in a Lamp Furnace with a Built-in Temperature Gradient", (1987), Vol. 92, Mat. Res. Soc. Symp. Proc., p. 53-58.

Customer No. 20,99



INFORMATION DISCLOSURE STATEMENT

Camm, et al.

App. No.

10/005,186

Filed

December 4, 2001

For

HEAT-TREATING METHODS AND SYSTEMS

Examiner

Fuqua, Shawntina T.

Group Art Unit

3742

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Enclosed is form PTO-1449 listing two (2) references that are also enclosed. These references have recently come to the attention of Applicant. While we do not believe that these references qualify as prior art under 35 U.S.C. § 102 or 103, out of abundance of caution, Applicant submits these two references and requests that they be considered and made of record in the application.

This Information Disclosure Statement is being filed before the mailing date of a final action under 37 C.F.R. § 1.113 and before the mailing date of a Notice of Allowance under § 1.311. A certification under 37 C.F.R. § 1.97(e) is set forth below. Thus, no fee is required as set forth in 37 C.F.R. § 1.97(c).

CERTIFICATION UNDER 37 C.F.R. § 1.97(e)(2)

I hereby certify that no item of information contained in this Statement was cited in a communication from a foreign Patent Office in a counterpart foreign application, and, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than 3 months prior to the filing of this Information Disclosure Statement.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Che Swyden Chereskin

Registration No. 41,466

Agent of Record Customer No. 20,995

(949) 760-0404

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